

Appendix A Outstanding Admission Agreements Action Plan: As at 07.06.2017

Risk Category	Employer Number	Category	Reason for Delay	Summary of Current Position	Action Plan	Target Completion Date
1 Year +	503	Delay in admission body providing information	Delays in admission body providing staffing list	HCC Legal liaising with employer to progress admission agreement & bond	Administering Authority to escalate lack of response from admission body with senior management of outsourcing employer.	Sep-17
1 Year +	504	Delay in admission body providing information	Delays in admission body providing staffing list	HCC Legal liaising with employer to progress admission agreement & bond	Administering Authority to escalate lack of response from admission body with senior management of outsourcing employer.	Sep-17
1 Year +	506	Delay in admission body providing information	Delays in admission body providing staffing list	Data with Hymans to calculate employer contribution rate/bond amount	Hymans calculation delayed due to backlog following triennial valuation. Admission process will proceed when received.	Sep-17
1 Year +	508	Delay in admission body providing information	Delays in admission body providing staffing list	Data with Hymans to calculate employer contribution rate/bond amount	Hymans calculation delayed due to backlog following triennial valuation. Admission process will proceed when received.	Sep-17
1 Year +	534	Delay in admission body providing information	Delays in admission body providing staffing list	Data with Hymans to calculate employer contribution rate/bond amount	Hymans calculation delayed due to backlog following triennial valuation. Admission process will proceed when received.	Sep-17
6-12 Months	465	Delay in admission body providing information	Delays in admission body providing staffing list	Data with Hymans to calculate employer contribution rate/bond amount	Hymans calculation delayed due to backlog following triennial valuation. Admission process will proceed when received.	Sep-17

1 Year +	493	Delay in admission body securing indemnity/querying form of indemnity	Delays in admission body securing bond	Awaiting confirmation of bond secured by employer	Admission agreement expected to be completed shortly – currently with admission bodies solicitors for final review	Aug-17
1 Year +	509	Delay in admission body securing indemnity/querying form	Delays in admission body securing bond	Awaiting confirmation that bond has been secured by employer	HCC Legal to escalate lack of response from admission body with senior management of outsourcing employer (HCC).	Sep-17

Risk Category	Employer Number	Category	Reason for Delay	Summary of Current Position	Action Plan	Target Completion Date
		of indemnity				
6-12 Months	518	Delay in admission body securing indemnity/querying form of indemnity	Amendments to staffing list provided by admission body, followed by queries from admission body on clauses of bond agreement	HCC Legal liaising with employer to progress admission agreement & bond	HCC Legal to monitor progress and escalate if required – currently waiting for final sign off from admission body	Aug-17
6-12 Months	528	Delay in admission body securing indemnity/querying form of indemnity	Ceding employer and admission body to agree bond value	Indemnity arrangements being agreed between ceding employer and admission body	Await decision from admission body/ceding employer - Administering Authority to escalate risks to ceding employer of no current indemnity	Aug-17

1 Year +	420	Dispute over conditions of admission	Dispute over conditions of admission	Awaiting legal opinion	Case information being collated to be passed to Squires for legal opinion in order to confirm HCC position and provide legal position on dispute.	TBC
1 Year +	437	Dispute over conditions of admission	Employee(s) incorrectly admitted by the admission body under an existing agreement, but for a separate service contract. Also query around who should act as ceding employer	Indemnity arrangements being agreed between ceding employer and admission body	Bond calculation sent to employer and has been challenged.	Sep-17
1 Year +	472	Dispute over conditions of admission	Employee(s) incorrectly admitted by the admission body under an existing agreement, but for a separate service contract	Employees incorrectly coded originally and now admission agreement to be completed for correct separate service contract	HCC to progress admission agreement by contacting admission body and ceding employer	Sep-17

Risk Category	Employer Number	Category	Reason for Delay	Summary of Current Position	Action Plan	Target Completion Date
1 Year +	452	Dispute over conditions of admission	Legal query on parties to be covered by admission agreement	Admission agreement agreed with employer and awaiting signed copy	Expected to be completed shortly, final version with parties for signing.	Jun-17
1 Year +	521	Dispute over conditions of admission	Employee(s) incorrectly admitted by the admission body under an existing agreement, but for a separate service contract	Data with Hymans to calculate employer contribution rate/bond amount	Hymans calculation delayed due to backlog following triennial valuation. Admission process will proceed when received.	Sep-17
1 Year +	500	Dispute over conditions of admission	Ceding employer did not acknowledge service contract/maintained no awareness of it	All active members have left	AA to be drafted in order for contributions to be collected.	July-17
6-12 Months	522	Dispute over conditions of admission	Contribution rate was queried and required to be re-calculated by the Actuary	Employer have now agreed the contribution rate and happy to progress with admission agreement.	Details will be passed to HCC Legal in Jun-17 for the progression of Admission agreement	Aug-17
6-12 Months	527	Dispute over conditions of admission	Bond value higher than employer expected.	HCC have responded to all queries from the employer regarding the bond value and are awaiting a response.	Await response from employer and chase if necessary.	Sep-17

6-12 Months	532	Third Party Delays	Delays in the actuarial calculations due to a backlog following the 2016 Triennial Valuation	With HCC to calculate bond value before passing to Legal services to progress with Admission agreement	Details will be passed to HCC Legal in Jun-17 for the progression of Admission agreement	Aug=17
6-12 Months	536	Third Party Delays	Delays in the actuarial calculations due to a backlog following the 2016 Triennial Valuation	With HCC to calculate bond value before passing to Legal services to progress with Admission agreement	Details will be passed to HCC Legal in Jun-17 for the progression of Admission agreement	Aug=17

Risk Category	Employer Number	Category	Reason for Delay	Summary of Current Position	Action Plan	Target Completion Date
0-6 Months	533	N/A	N/A	With HCC to calculate bond value before passing to Legal services to progress with Admission agreement	Details will be passed to HCC Legal in Jun-17 for the progression of Admission agreement	Aug-17
0-6 Months	537	N/A	N/A	With HCC to calculate bond value before passing to Legal services to progress with Admission agreement	Details will be passed to HCC Legal in Jun-17 for the progression of Admission agreement	Aug-17
0-6 Months	535	N/A	N/A	Indemnity arrangements being agreed between ceding employer and admission body	Await decision from employer/ceding employer - Administering Authority to escalate risks to ceding employer of no current indemnity	Aug-17

Total: 23 Outstanding Agreements